

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
Edward T. H. YEH et al.

Serial No.: 10/624,945

Filed: July 22, 2003

For: COMPOSITION AND METHODS  
RELATING TO SENP1-A SENTRIN-  
SPECIFIC PROTEASE

Patent No.: 7,588,927

Issue Date: September 15, 2009

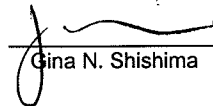
Atty. Dkt. No.: UTSB:245USC1

Confirmation No.: 2419

**CERTIFICATE OF ELECTRONIC TRANSMISSION**

I hereby certify that this correspondence is being  
electronically filed with the United States Patent and  
Trademark Office via EFS-Web on the date below:

November 10, 2009  
Date

  
Gina N. Shishima

**PETITION UNDER 37 C.F.R. § 3.81(b)**  
**TO CORRECT ASSIGNEE IN ISSUED PATENT**

**MAIL STOP PETITION**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 3.81(b) and MPEP § 1481, Applicants respectfully request the  
grant of this petition to correctly depict the Assignee's name on the above-referenced patent.

Due to a clerical error, Applicants' representative inadvertently entered incorrect assignee information under item 3 of Form PTOL-85B at the time of payment of the Issue Fee. The entity names recorded in a confirmatory license, National Institutes of Health (NIH), The United States of America as represented by the Department of Health and Human Services, and the U.S. Government NIH Divisional of Extramural Inventions and Technology Resources (DEITR), were inadvertently listed as the Assignees on the Issue Fee Transmittal form. However, the actual Assignee of this patent is Board of Regents, The University of Texas System.

The Notice of Recordation of Assignment Document indicates that an Assignment from Assignors Edward T. H. Yeh and Limin Gong to Assignee Board of Regents, The University of Texas System was recorded on December 19, 2000, at reel/frame 011396/0549, before issuance of this patent. A copy of this document is attached hereto as Appendix A.

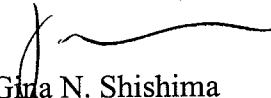
Therefore the correct names of the Assignee should appear as follows:

Board of Regents, The University of Texas System  
201 West 7th  
Austin, Texas 78701

It is requested that, if this petition is granted, the file be forwarded to the Certificate of Correction Branch for issuance of an appropriate Certificate of Correction.

In accordance with 37 C.F.R. §§ 1.17(i) and 1.20(a), a petition fee in the amount of \$130.00 and a certificate of correction fee in the amount of \$100 are being charged to a credit card through EFS-Web concurrently with this submission. If the payment is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, the Commissioner is authorized to deduct said fees from Fulbright & Jaworski Deposit Account No. 50-1212/UTSH:245USC1.

Respectfully submitted,



Gina N. Shishima  
Reg. No. 45,104  
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.  
600 Congress Ave, Ste. 2400  
Austin, Texas 78701  
512.536.3035 (voice)  
512.536.4598 (fax)

Date: November 10, 2009

# Appendix A



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MAY 08, 2001

PTAS



\*101679915A\*

FULBRIGHT & JAWORSKI L.L.P.  
GINA N. SHISHIMA  
600 CONGRESS AVENUE, SUITE 2400  
AUSTIN, TEXAS 78701

**CORRECTED  
NOTICE**

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/19/2000

REEL/FRAME: 011396/0549  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
YEH, EDWARD T.H.

DOC DATE: 11/10/2000

ASSIGNOR:  
GONG, LIMIN

DOC DATE: 11/14/2000

ASSIGNEE:  
BOARD OF REGENTS, THE UNIVERSITY  
OF TEXAS SYSTEM  
201 WEST 7TH STREET  
AUSTIN, TEXAS 78701

SERIAL NUMBER: 09628966  
PATENT NUMBER:

FILING DATE: 07/31/2000  
ISSUE DATE:

DOROTHY RILEY, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

Reel	011396
Doc. No.	0549
Doc. Date	11/10/2000
Doc. Date	11/14/2000
Filing Date	07/31/2000
Issue Date	
Assignment Recorded Corrected	
MAY 17 2001	
UTSH:245US	
MBW/GNS	
LM	

05-07-2001



COPY

FORM PTO-1595  
(Rev. 6-93)101679915  
PATENTS ONLY

U.S. Department of Commerce

OMB No. 0651-0011 (exp. 4/94)

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name of conveying party(ies):

Edward T.H. Yeh and Limin Gong

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 11/10/2000, 11/14/2000

2. Name and address of receiving party(ies):

Name: Board of Regents, The University of Texas System

Street Address: 201 West 7<sup>th</sup> Street

City: Austin State: Texas Zip: 78701

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

09/628,966

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gina N. Shishima  
FULBRIGHT & JAWORSKI L.L.P.  
600 Congress Avenue, Suite 2400

City: Austin State: Texas Zip: 78701

6. Total number of applications and patents involved: 17. Total fee (37 C.F.R. 3.41) \$ 40.00☒ Enclosed☒ Authorized to be charged to deposit account  
if check insufficient or inadvertently omitted8. Deposit account number: 50-1212/10021715/GNS  
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Gina N. Shishima

12/15/2000

Name of Person Signing, Reg. No. 45,104

Signature

Date

Total number of pages including cover sheet, attachments and documents: 3Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignment, Washington, D.C. 20231CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Box Assignment, Washington, DC 20231, on the date below:

December 15, 2000  
Date

Gina N. Shishima

01/09/2001 WTH:11 00000067 09628966

01 FC:581

40.00 0P

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Edward T.H. Yeh

Limin Gong

Serial No.: 09/628,966

Filed: July 31, 2000

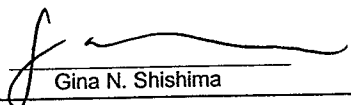
For: COMPOSITION AND METHODS  
RELATING TO SENP1 - A SENTRIN-  
SPECIFIC PROTEASE

Group Art Unit: 1645

Examiner: Unknown

Atty. Dkt. No.: UTSH:245US/GNS

**REQUEST FOR CORRECTED NOTICE OF RECORDATION  
OF ASSIGNMENT DOCUMENT**

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Assignment, Commissioner for Patents, Washington, DC 20231, on the date below:	
4/20/01 Date	 Gina N. Shishima

Commissioner for Patents  
Box Assignments, CG-4  
1213 Jefferson Davis Highway, Suite 320  
Washington, D.C. 20231

Sir:

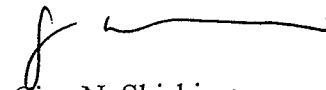
A corrected Notice of Recordation of Assignment Document is hereby requested in view of the error which appears in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original Assignment Recordation on which the error has been noted in red, a photocopy of the original Recordation Form Coversheet, and a copy of the original Assignment.

The ASSIGNEE name is incorrect. Please delete "BOARD OF REGENTS, TEXAS UNIVERSITY OF SYSTEM, THE" and insert -- **BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM** --.

Because the error is not due to any error by Applicants, no fee is believed to be due in connection with the filing of this document. However, should any fee under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this document, the Commissioner is hereby authorized to deduct said fee from Fulbright & Jaworski Deposit Account No. 50-1212/10021715/GNS.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,



Gina N. Shishima  
Reg. No. 45,104  
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.  
600 Congress Avenue, Suite 2400  
Austin, Texas 78701  
(512) 474-5201

Date: April 20, 2001



COPY

PATENT  
FILE NO. UTSB:245

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM (the "Assignee"), having a place of business at 201 West 7th Street, Austin, Texas 78701, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 09/628,966 which has been filed on July 31, 2000 and is entitled "COMPOSITION AND METHODS RELATING TO SENP1 - A SENTRIN-SPECIFIC PROTEASE", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: Edward T.H. Yeh  
Name: Edward T.H. Yeh

Date: 11-10-2000

STATE OF TEXAS )  
 ) ss.  
COUNTY OF HARRIS )

BEFORE ME, the undersigned authority, on this 10<sup>th</sup> day of NOVEMBER, 2000, personally appeared Edward T.H. Yeh, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



Christine S. Vedomey  
Notary or Consular Officer

Signature: Limin Gong  
Name: Limin Gong

Date: 11-14-2000

STATE OF TEXAS )  
 ) ss.  
COUNTY OF HARRIS )

BEFORE ME, the undersigned authority, on this 14<sup>th</sup> day of November, 2000, personally appeared Limin Gong, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



Gayle M. Lawrence  
Notary or Consular Officer

FULBRIGHT & JAWORSKI L.L.P.  
600 Congress Avenue, Suite 2400  
Austin, Texas 78701